Question 5

One possible 25-point response; other full credit responses are possible:

0–25 points

I. Roman Numerals (7 points, 1 point per numeral)

Award 1 point for each correct Roman numeral.

1. Accept the correct Roman numeral regardless of its case.

2. Ignore any Arabic numerals because they are included in the question itself.

3. Award no credit if an accidental is placed before a Roman numeral.

II. Chord Spelling, Spacing, and Doubling (6 points, 1 point per chord)

A. Award 1 point for each chord that correctly realizes the given figured bass.

1. The chord must be spelled correctly. An incorrect accidental on the wrong side of the notehead will be considered a misspelling.

2. The fifth (but not the third) may be omitted from any root-position triad.

3. The fifth (but not the third or seventh) may be omitted from a root-position dominant seventh chord.

4. All inverted triads and inverted seventh chords must be complete (i.e., have all chord tones).

5. All triads must contain at least three voices.

6. All seventh chords must contain at least four voices.

7. The final chord must include a correctly spelled 4—3 suspension.

B. Award 0 points for a chord that breaks one or more of the conditions of II.A.

N.B.: Award 0 points for voice leading into and out of these chords. (See III.E.)

C. Award ¼ point each for a correctly realized chord that has exactly one of the following errors:

1. A doubled leading tone, a doubled chordal seventh, or incorrect doubling of a ⁹₄ chord

2. More than one octave between adjacent upper parts

3. Crossed voices
Question 5 (continued)

D. Award 0 points for a correctly realized chord that has one or both of the following errors. However, do check the voice leading into and out of these chords.

1. More than one error listed in II.C.

   AND/OR

2. The correct accidental on the wrong side of a notehead. (For an incorrect accidental on the wrong side of a notehead, see II.A.1.)

III. Voice Leading (12 points)

A. In general, award 2 points for acceptable voice leading between two correctly realized chords. N.B.: This includes the voice leading from the given chord to the second chord.

B. If all chords are correctly realized, and there are no voice-leading errors (as described in III.C. and III.D.), but the response seems to have excessive leaps within the upper three voices:
   1. Award 12 points for voice leading if there are six or fewer leaps in the three upper voices combined.
   2. Award 11 points for voice leading if there are more than six leaps in the three upper voices combined.

C. Award only 1 point for voice leading between two correctly realized chords (as defined in II.A.) with exactly one of the following errors:
   1. Uncharacteristic rising unequal fifths. (See DCVLE, no. 4.)
   2. Uncharacteristic hidden (covered) or direct octaves or fifths between outer voices. (See DCVLE, nos. 5 and 6.)
   3. Overlapping voices. (See DCVLE, no. 7.)
   4. A chordal seventh approached by a descending leap of a fourth or larger.

D. Award 0 points for voice leading between two correctly realized chords (as defined in II.A.) if any of the following statements is true:
   1. Parallel octaves, fifths, or unisons occur (immediately successive or beat-to-beat), including those by contrary motion. (See DCVLE, nos. 1 through 3.)
   2. Uncharacteristic leaps occur (e.g., augmented second, tritone, or more than a fifth).
   3. Chordal sevenths are unresolved or resolved incorrectly. (The voice with the seventh should move down by step, but may move UP by step only in the case of the i-V\(\frac{3}{4}\)-i\(^6\) progression.)
   4. The leading tone in an outer voice is unresolved or resolved incorrectly.
   5. The 6\(^{th}\) or 4\(^{th}\) of the cadential \(\frac{5}{4}\) chord is unresolved or resolved incorrectly.
   6. At least one of the chords has more or fewer than four voices (soprano, alto, tenor, and bass).
   7. The suspension is not prepared.
   8. More than one error listed in section III.C. occurs.

E. Award 0 points for voice leading into and out of an incorrectly realized chord.
IV. Scores with Additional Meaning

1 This score can be given to a response that has two or more redeeming qualities.

0 This score is used for a response that represents an unsuccessful attempt to answer the question (has no redeeming qualities, or only one) or a response that is off-topic or irrelevant.

— The dash is reserved for blank responses.

V. Scoring Notes

A. Do not penalize a response that includes correctly used nonchord tones.

B. An incorrectly used nonchord tone will be considered a voice-leading error.
   1. Award 1 point if the incorrect nonchord tone results in one error listed in III.C.
   2. Award 0 points if the incorrect nonchord tone results in at least one error from III.D. or more than one error from III.C.

C. Half-point totals round up with one exception: A total score of 24½ rounds down to 24.
1. Parallel fifths and octaves (immediately consecutive): unacceptable (award 0 points).

2. Beat-to-beat fifths and octaves (equal perfect intervals on successive beats): unacceptable (award 0 points).

3. Fifths and octaves by contrary motion: unacceptable (award 0 points).

4. Unequal fifths

   **d₅→P₅ (by step):**
   - An ascending d₅→P₅ is acceptable ONLY between upper voices when passing between I and i₆, e.g.,
     I→V₃→i₆ and I→vii₆→i₆ (no deduction).
   - An ascending d₅→P₅ in other situations is unacceptable (award 1 point only).
   - A descending d₅→P₅ is acceptable between upper voices (no deduction).
   - Any d₅→P₅ (ascending or descending) between the bass and an upper voice is unacceptable (award 1 point only).

   **P₅→d₅ (by step):**
   - An ascending P₅→d₅ between two upper voices is acceptable (no deduction).
   - A descending P₅→d₅ between two upper voices is acceptable (no deduction).

5. Hidden (or covered) fifths and octaves in outer voices (similar motion to a perfect interval that involves one voice moving by step).
   - When the step is in the upper voice, as shown in Ex. 5a: acceptable (no deduction).
   - When the step is in the lower voice, as shown in Ex. 5b: unacceptable (award 1 point only).

6. Direct fifths and octaves in outer voices: unacceptable (award 1 point only).
   Definition: Similar motion to a perfect interval that involves a skip in each voice. N.B.: Many sources equate “hidden” and “direct.”

7. Overlapping voices — unacceptable (award 1 point only).
   Definition: Two voices move to a position in which the lower voice is higher than the previous note in the higher voice, or they move to a position where the higher voice is lower than the previous note in the lower voice.
Question 5. (Suggested time—15 minutes)

Realize the figured bass below in four voices, following traditional eighteenth-century voice-leading procedures. Continue logically from the spacing of the first chord. Do not add embellishments unless indicated by the figured bass. Prepare and resolve the nonharmonic tone as a suspension. On the blank below each chord, write the Roman numeral that appropriately indicates harmonic function.
**Question 5.** (Suggested time—15 minutes)

Realize the figured bass below in four voices, following traditional eighteenth-century voice-leading procedures. Continue logically from the spacing of the first chord. Do not add embellishments unless indicated by the figured bass. Prepare and resolve the nonharmonic tone as a suspension. On the blank below each chord, write the Roman numeral that appropriately indicates harmonic function.
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Question 5

Overview

This question assessed students' ability to:

- realize a figured bass progression in Common Practice style in four voices;
- employ smooth voice-leading procedures;
- demonstrate understanding of diatonic harmony, including chord spelling, spacing, doubling, and voice leading in a four-part texture;
- analyze chords using Roman numerals;
- spell chords in a minor key, including raising the leading tone in the dominant chord;
- notate a Phrygian (IV–V) half cadence;
- notate a suspension, including its proper preparation and resolution; and
- correctly realize inverted triads and seventh chords utilizing all chord members.

Sample: 5A
Score: 25

This represents a very good response. All Roman numerals are correct and all chords are spelled correctly. From chord six to chord seven there are parallel octaves between the soprano and the tenor; no points were awarded for this voice-leading connection. The remaining voice-leading connections are correct and earned 2 points each. (Roman numerals: 7; Chord spelling: 6; Voice leading: 10; Total = 23)

Sample: 5B
Score: 15

This represents a fair response. All Roman numerals are correct; 7 points were awarded. Chords two, five, and six are spelled correctly and earned 1 point each. Chords three and four are spelled incorrectly; no points were earned for chord spelling or voice leading into and out of these chords. Chord seven contains a spacing error between the alto and the tenor; ½ point was awarded for the spelling of this chord. The voice leading between chords one and two and between chords five and six is correct and 2 points were earned for each. The voice leading between chords six and seven contains a leap of an augmented fourth in the alto; no points were awarded for this voice-leading connection. (Roman numerals: 7; Chord spelling: 3½; Voice leading: 4; Total = 14½, rounded up to 15)

Sample: 5C
Score: 6

This represents a weak response. Two Roman numerals are correct; 2 points were awarded. Chords three, four, five, and six are correctly spelled and earned 4 points. Chords three and six have only three voices; no points were awarded for voice-leading connections into and out of these chords. The voice leading between chords four and five contains an uncharacteristic leap in the soprano, an overlap between the soprano and the alto, and an unresolved chordal seventh in the alto; no points were awarded for this voice-leading connection. (Roman numerals: 2; Chord spelling: 4; Voice leading: 0; Total = 6)